

<b>DECISION-MAKER:</b>	<b>GOVERNANCE COMMITTEE</b>		
<b>SUBJECT:</b>	<b>INTERNAL AUDIT PROGRESS REPORT (March 2017)</b>		
<b>DATE OF DECISION:</b>	<b>24 April 2017</b>		
<b>REPORT OF:</b>	<b>CHIEF INTERNAL AUDITOR</b>		
<b><u>CONTACT DETAILS</u></b>			
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<b>Director</b>	<b>Name:</b>	<b>Mel Creighton</b>	<b>Tel: 023 80834897</b>
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<b>STATEMENT OF CONFIDENTIALITY</b>			
None.			
<b>BRIEF SUMMARY</b>			
<p>In accordance with the Accounts and Audit (England) Regulations 2015 a relevant body must:</p> <p>‘undertake an effective internal audit to evaluate the effectiveness of its risk management, control and governance processes, taking into account public sector internal auditing standards or guidance’.</p> <p>In accordance with proper internal audit practices (Public Sector Internal Audit Standards), the Chief Internal Auditor is required to provide a written status report to the Governance Committee, summarising:</p> <ul style="list-style-type: none"> <li>• progress in implementing the audit plan;</li> <li>• internal audit reviews in progress;</li> <li>• audit opinion on all internal audit reviews completed since the last report and executive summaries of published reports where critical weaknesses or unacceptable levels of risk were identified; and</li> <li>• the status of ‘live’ reports, i.e. those where internal audit work is completed and actions are planned to improve the framework of governance, risk management and management control</li> </ul> <p>Appendix 1 summarises the activities of internal audit for the period to March 2017</p>			
<b>RECOMMENDATIONS:</b>			
	(i)	That the Governance Committee notes the Internal Audit Progress report to the period March 2017 as attached.	
<b>REASONS FOR REPORT RECOMMENDATIONS</b>			
1.	In accordance with proper internal audit practices (Public Sector Internal Audit Standards), the Governance Committee is required to receive the Chief Internal Auditor’s progress report.		
<b>ALTERNATIVE OPTIONS CONSIDERED AND REJECTED</b>			
2.	None		

<b>DETAIL (Including consultation carried out)</b>	
3.	None
<b>RESOURCE IMPLICATIONS</b>	
<b><u>Capital/Revenue</u></b>	
4.	None
<b><u>Property/Other</u></b>	
5.	None
<b>LEGAL IMPLICATIONS</b>	
<b><u>Statutory power to undertake proposals in the report:</u></b>	
6.	The Accounts and Audit (England) Regulations 2015 state 'a relevant body must undertake an effective internal audit to evaluate the effectiveness of its risk management, control and governance processes, taking into account public sector internal auditing standards or guidance'.
<b><u>Other Legal Implications:</u></b>	
7.	None
<b>POLICY FRAMEWORK IMPLICATIONS</b>	
8.	None
<b>KEY DECISION?</b>	No
<b>WARDS/COMMUNITIES AFFECTED:</b>	
<b><u>SUPPORTING DOCUMENTATION</u></b>	
<b>Appendices</b>	
1.	Internal Audit Progress Report – March 2017
<b>Documents In Members' Rooms</b>	
1.	None
<b>Equality Impact Assessment</b>	
Do the implications/subject of the report require an Equality and Safety Impact Assessments (ESIA) to be carried out.	No
<b>Privacy Impact Assessment</b>	
Do the implications/subject of the report require a Privacy Impact Assessment (PIA) to be carried out.	No
<b>Other Background Documents</b>	
<b>Equality Impact Assessment and Other Background documents available for inspection at:</b>	
Title of Background Paper(s)  None	Relevant Paragraph of the Access to Information Procedure Rules / Schedule 12A allowing document to be Exempt/Confidential (if applicable)

